2155  
1FW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 9

Application Number 10/076,181

Filing Date February 12, 2002

First Named Inventor Hal Hildebrand

Art Unit 2155

Examiner Name Hosain T. Alam

Attorney Docket Number PA2902US

**ENCLOSURES (Check all that apply)**

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application                          | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Request for Refund  | 1) Confirmation Postcard;   |
| <input type="checkbox"/> Information Disclosure Statement                 | <input type="checkbox"/> CD, Number of CD(s) _____   | 2) Statement Under 37 CFR 3.73(b)   |
|   | <input type="checkbox"/> Landscape Table on CD   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <b>Remarks</b>   |   |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application   | Total page number does not include postcard.   |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Carr & Ferrell LLP Cust. No. 22830		
Signature			
Printed name	Susan Yee		
Date	February 7, 2005	Reg. No.	41,388

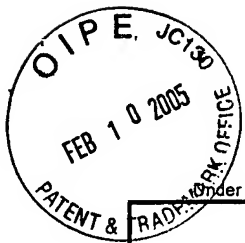
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Susan Yee, Reg. No. 41,388	Date	February 7, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: PSS Systems, Inc.

Application No./Patent No.: 10/076,181 Filed/Issue Date: February 12, 2002

Entitled: System and Method for Providing Distributed Access Control to Secured Items

PSS Systems, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Hal Hildebrand, Klimenty Vainstein To: SecretSEAL, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012593, Frame 0248, or for which a copy thereof is attached.
2. From: SecretSEAL, Inc. To: Pervasive Security Systems, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 015539, Frame 0022, or for which a copy thereof is attached.
3. From: Pervasive Security Systems, Inc. To: PSS Systems, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 015530, Frame 0673, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Deidre Paknad  
Signature

1/21/05  
Date

Deidre Paknad  
Printed or Typed Name

(650) 496-2300  
Telephone Number

President and CEO  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNEE: PSS Systems, Inc.

TITLE: See Schedule A

U.S. APPL. NO.: See Schedule A

FILING DATE: See Schedule A

---

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

REVOCATION AND POWER OF ATTORNEY


I, the undersigned, **Deidre Paknad**, President and Chief Executive Officer for **PSS Systems Inc.**, the assignee of the entire right, title and interest in the United States patent applications and patents referenced in Schedule A, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the U.S. patent applications and patents referenced in Schedule A. I hereby appoint the agents and attorneys associated with **Customer Number 22830** to prosecute these applications and to transact all business in the U.S. Patent and Trademark Office connected therewith.

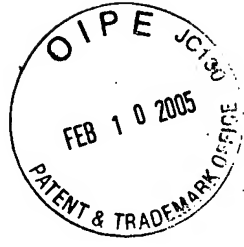
Please direct all communication relative to these applications to the following correspondence address:

CARR & FERRELL LLP  
2200 Geng Road  
Palo Alto, CA 94303  
TEL: 650.812.3400  
FAX: 650.812.3444

Respectfully submitted,

Date: 1/31/05

  
Deidre Paknad  
President and Chief Executive Officer  
PSS Systems, Inc.



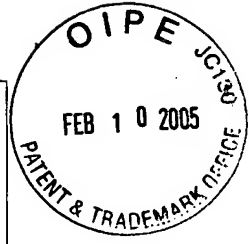
Schedule A

Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2899US	Multi-Route Client-Server Architecture	09/425,382 6,633,901	10/22/1999 10/14/2003	011695/0080 012653/0350 013515/0565	Netl; then to SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2900US	Method and Architecture for Providing Pervasive Security to Digital Assets	10/076,254	2/12/2002	015530/0673 013905/0194	PSS Systems, Inc.
PA2901US	Managing Secured Files in Designated Locations	10/201,756	7/22/2002	CON of 10/076,254; no separate assignment	Refer to 10/076,254.
PA2902US	System and Method for Providing Distributed Access Control to Secured Items	10/076,181	2/12/2002	012593/0248 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2903US	System and Method for Providing Multi-Location Access Management to Secured Items	10/075,194	2/12/2002	012592/0434 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2904US	Secured Data Format for Access Control	10/074,804	2/12/2002	012623/0795 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.

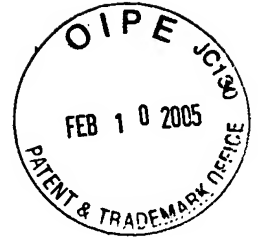
Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2905US	Method and Apparatus for Securing Electronic Data	10/074,996	02/12/2002	012612/0075 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2906US	Method and Apparatus for Accessing Secured Electronic Data Off-line	10/074,825	02/12/2002	012617/0190 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2907US	Method and System for Restricting Use of a Clipboard Application	10/028,397	12/21/2002	012403/0941 015539/0022 015530/0673	SecretSEAL Inc., then to Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2908US	System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items	10/105,532	3/20/2002	013935/0224	PSS Systems, Inc.
PA2909US	Dynamic Evaluation of Access Rights	10/127,109	4/22/2002	012968/0789 015530/0673 013905/0143	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2910US	Method and System for Providing Manageability to Security Information for Secured Items	10/132,712	4/26/2002		PSS Systems, Inc.
PA2911US	System and Method for Providing Manageability to Security Information for Secured Items	10/206,486	7/25/2002	CON of 10/132,712	Refer to 10/132,712.
PA2912US	System and Method for Imposing Security on Copies of Secured Items	10/146,207	5/14/2002	013930/0469	PSS Systems, Inc.
PA2913US	Indications of Secured Digital Assets	10/124,179	4/17/2002	012968/0824 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.



Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2914US	Method and Apparatus for Securing Digital Assets	10/159,537	5/31/2002	012975/0878 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2915US	Method and Architecture for Providing Access to Secured Data From Non-secured Clients	10/286,575	11/1/2002	013508/0620 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2916US	Method and System for Protecting Electronic Data in Enterprise Environment	10/159,220	5/31/2002	012961/0718 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2917US	Effectuating Access Policy Changes to Designated Places for Secured Files	10/259,075	9/27/2002	013357/0157 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2918US	Method and System for Implementing Changes to Security Policies in a Distributed Security System	10/186,203	6/26/2002	013206/0113 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2919US	Method and System for Updating Keys in a Distributed Security System	10/206,737	7/26/2002	013162/0122 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2920US	Security System for Generating Keys from Access Rules in a Decentralized Manner and Methods Therefor	10/246,079	9/17/2002	013304/0243 014967/0825	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2921US	Hybrid Systems for Securing Digital Assets	10/325,013	12/20/2002	013709/0017	PSS Systems, Inc.
PA2922US	Method and Apparatus for Securing/Unsecuring Files by File Crawling	10/325,102	12/20/2002	013710/0147	PSS Systems, Inc.
PA2923US	Guaranteed Delivery of Changes to Security Policies in a Distributed System	10/186,204	6/26/2002	013218/0644 013959/0467	Pervasive Security Systems, Inc., then to PSS Systems, Inc.



Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2924US	Managing File Access Via a Designated Place	10/259,078	9/27/2002	013918/0638	PSS Systems, Inc.
PA2925US	Document Security System that Permits External Users to Gain Access to Secured Files	10/262,218	9/30/2002	013349/0057 013959/0467	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2926US	Method and System for Protecting Encrypted Files Transmitted Over a Network	10/242,185	9/11/2002	013289/0204 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2927US	Security System that Uses Indirect Password-Based Encryption	10/286,524	11/1/2002	013451/0961 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2928US	Security System Using Indirect Key Generation from Access Rules and Methods Therefor	10/295,363	11/15/2002	013710/0139 015530/0673	Pervasive Security Systems, Inc., then to PSS Systems, Inc.
PA2929US	Security System with Staging Capabilities	10/327,320	12/20/2002	013710/0129	PSS Systems, Inc.
PA2930US	Multi-Level Cryptographic Transformations for Securing Digital Assets	10/404,566	3/31/2003	Assignment signed 3/28/2003 and filed with application; no reel/frame information	PSS Systems, Inc.
PA2931US	Method and Apparatus for Uniquely Identifying Files	10/368,277	2/18/2003	014477/0634	PSS Systems, Inc.
PA2932US	Method and System for Securing Digital Assets Using Content Type Designations	10/405,587	4/1/2003	None found	



Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA29333US	Method and System for Managing Security Tiers	10/445,657	5/27/2003	014124/0642	PSS Systems, Inc.
PA29344US	Method and System for Using Remote Headers to Secure Electronic Files	10/448,806	5/30/2003	014134/0170	PSS Systems, Inc.
PA29355US	Method and System for Enabling Users of a Group Shared Across Multiple File Security Systems to Access Secured Files	10/610,832	6/30/2003	014270/0103	PSS Systems, Inc.
PA29366US	Method and System for Fault-Tolerant Transfer of Files Across a Network	10/642,041	8/15/2003	014408/0470	PSS Systems, Inc.
PA29377US	Method and System for Securing Digital Assets Using Process-Driven Security Policies	10/677,049	9/30/2003	014575/0301	PSS Systems, Inc.
PA29388US	Method and Apparatus for Transitioning Between States of Security Policies Used to Secure Electronic Documents	10/676,474	9/30/2003	014570/0346	PSS Systems, Inc.
PA29399US	Method and System for Securing Digital Assets Using Time-Based Security Criteria	10/676,850	9/30/2003	014367/0687	PSS Systems, Inc.
PA29400US	Method and System for Proxy Approval of Security Changes for a File Security System	10/690,243	10/20/2003	014625/0024	PSS Systems, Inc.
PA29411US	Method and System for Distribution of Notifications in File Security Systems	10/742,710	12/19/2003	014833/0983	PSS Systems, Inc.
PA29422US	Method and System for Validating Timestamps	10/745,183	12/22/2003	014853/0855	PSS Systems, Inc.
PA29433US	Method and System for Providing Document Retention Using Cryptography	10/815,251	3/30/2004	015180/0354	PSS Systems, Inc.





Our Ref.	Title	Application No. / Patent No.	Filed/ Issued	Assignment Reel/Frame	Assignment Made To:
PA2944US	Method and System for Providing Cryptographic Document Retention with Off-Line Access	10/815,229	3/30/2004	015180/0533	PSS Systems, Inc.
PA2945US	Multi-Level File Digests	10/894,493	7/19/2004	Assignment signed 7/7/2004	PSS Systems, Inc.

